

PROPOSAL REQUEST

20180403-CO

Office Supplies

2018- 2019

For Sumner County Sheriff's Office



SUMNER COUNTY BOARD OF EDUCATION SUMNER COUNTY, TENNESSEE

Purchasing Staff Contact:

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This proposal solicitation document is available in an Adobe Acrobat (pdf) format. Any alterations to this document made by the proposer may be grounds for rejection of proposal, cancellation of any subsequent award, or any other legal remedies available to the Sumner County Board of Education.

Introduction

Sumner County Government, or herein known as "Sumner County", is hereby requesting a proposal for 2018 -2019 Office Supplies utilized by the Sumner County Sheriff's Office 117 West Smith Street Gallatin, TN 37066 In addition, all other Sumner County Government Departments and Agencies and the Sumner County Board of Education may also purchase for any submitted proposal.

General Information

I. Proposal Package

All sealed proposal packages must include all of the following, when applicable. Any sealed proposals shall be rejected as a non-conforming bid if any applicable item is missing.

- Three (3) complete copies of proposal
- Evidence of a valid State of Tennessee Business License and/or Sumner County Business License
- Evidence of compliance with the Sumner County Insurance Requirements, if work is performed on Sumner County Property
- Signed and completed Statement of Non-Collusion (Attachment 1)
- Properly completed Internal Revenue Service Form W-9
- Evidence of a company's safety program and, if supported, a drug testing program (Attachment 2) Drug-Free Workplace Affidavit
- If bid is in excess of \$25,000, a certification of non-debarment must be completed (Attachment 3) Certification Regarding Debarment, Suspension, and Other Responsibility Matters
- Certification By Contractor (Attachment 4)

NEW VENDORS

1. To comply with Internal Revenue Service requirements, all vendors who perform any type of service are required to have a current IRS Form W-9 on file with the Sumner County. At the time of requisition, the individual requesting a purchase order or disbursement will be informed if it is a new vendor and if a form W-9 is required. If form W-9 is required for a new vendor, the department head shall forward a completed form W -9 to the finance department. It can be obtained from the Internal Revenue Service's website at www.irs.gov.
2. To comply with the Tennessee Lawful Employment Act, non-employees (individuals hired as independent contractors) must have on file any 2m: of the following documents.
 - Valid Tennessee driver license or photo ID issued by department of safety
 - Valid out-of-state driver license
 - U.S. birth certificate
 - Valid U.S. passport
 - U.S. certificate of birth abroad
 - Report of birth abroad of a U.S. citizen
 - Certificate of citizenship
 - Certificate of naturalization
 - U.S. citizen identification card
 - Valid alien registration documentation or proof of current immigration registration

3. In addition, for all vendors with annual purchases in excess of \$50,000 (if a business license is required), a business license must be on file in the finance department, or the requisitioner must submit a copy with the purchase order requisition form or the payment requisition form, as applicable.

II. Responses

- Proposal must include point-by-point responses to the RFP.
- Proposal must include a list of any exceptions to the requirements.
- Proposal must include the legal name of the vendor and must be signed by a person or persons legally authorized to bind the vendor to a contract.
- If applicable, proposal must include a copy of the contract(s) the vendor will submit to be signed.
- Any and all proposal requirements must be met prior to submission.
- The bidder understands and accepts the non-appropriation of funds provision of the Sumner County Government.
- If noted in the section “proposal requirements” or later requested, the contractor will be required to provide a reference list of clients that have a current contract for services with their company.

III. Clarification and Interpretation of RFP

The words “must” and “shall” in this Request for Proposal indicate mandatory requirements. Taking exception to any mandatory requirement shall be grounds for rejection of the proposal. There are other requirements that Sumner County considers important but not mandatory. It is important to respond in a concise manner to each section of this document and submit an itemized list of all exceptions.

In the event that any interested vendor finds any part of the listed specifications, terms, or conditions to be discrepant, incomplete, or otherwise questionable in any respect, it shall be the responsibility of the concerned party to notify Sumner County, via email at purchasing@sumnerschools.org, of such matters immediately upon receipt of this Request for Proposal. All questions must be received a minimum of five days before proposal’s “deadline”. All responses to inquiries will be posted on the School System website (<http://www.sumnerschools.org>) under “Invitation to Bid” and Sumner County website at www.sumnertn.org.

IV. Proposal Guarantee

Vendors must guarantee that all information included in their proposal will remain valid for a period of 90 days from the date of proposal opening to allow for evaluation of all proposals.

V. Related Costs

Sumner County is not responsible for any costs incurred by any vendor pursuant to the Request for Proposal. The vendor shall be responsible for all costs incurred in connection with the preparation and submission of its proposal.

VI. Insurance Requirements and Liability

Each bidder or respondent to the RFP who may have employees, contractors, or agents working on Sumner County properties shall provide copies of current certificates for general and professional liability insurance and for workers' compensation of a minimum of \$250,000. The owner or principal of each respondent must also be insured by workers' compensation if they perform any of the services on School System properties. There will be no exceptions to the insurance requirement.

VII. Payment Terms

Payment terms shall be specified in the bid response, including any discounts for early payment. All payments, unless agreed upon differently, will be after receipt of service or product and School System's approval of conformance with specifications. The Sumner County Finance Department does not allow the practice of picking up checks in person

VIII. Deadline

Sealed proposals will be accepted until **April 3, 2018 @ 10:00 a.m.** local time. Proposals received after that time will be deemed invalid and returned unopened to the vendor. Vendors mailing proposal packages must allow sufficient time to ensure receipt of their package by the time specified. There will be no exceptions.

IX. Withdrawal or Modification of Proposal

A withdrawn proposal may be resubmitted up to the time designated for the receipt of proposals provided that it fully conforms to the same general terms and requirements.

X. Package

The package containing the proposal must be sealed and clearly marked "**20180103-CO Office Supplies for Sumner County Sheriff's Office**" on the outside of the package. Responses may be hand delivered or mailed to the following address. Question Contact: Natasha L. Latham/ 615-442-1831 or nlatham@sumnersheriff.com

Sumner County Board of Education
Attn: Purchasing Supervisor
1500 Airport Road
Gallatin, TN 37066

XI. Right to Seek a New Proposal

The Sumner County reserves the right to accept or reject any and all proposals for any reason. Proposals will be awarded to the best overall respondent as determined by that which is in the best interests of Sumner County

xii. Procedures for Evaluating Proposals and Awarding Contract

In comparing the responses to this RFP and making awards, Sumner County may consider such factors as quality and thoroughness of a proposal, the record of experience, the references of the respondents, and the integrity, performance, and assurances in the proposal in addition to that of the proposal price.

- Proposals will be examined for compliance with all requirements set forth herein.
- Proposals that do not comply shall be rejected without further evaluation.
- Proposals will be subjected to a technical analysis and evaluation.
- Oral presentations and written questions for further clarifications may be required of some or all vendors.

xiii. Discussions

Discussions may be conducted with the vendors which have submitted proposals determined to be reasonably likely of being considered for selection to assure a full understanding of and responsiveness to the RFP requirements. Every effort shall be afforded to assure fair and equal treatment with respect to the opportunity for discussion and/or revision of their respective proposals. Revisions may be permitted after the submission and prior to the award for the purpose of obtaining the best offers.

xiv. Open Records

After the bid is awarded, all proposals will be subject to the Tennessee Open Records Act, and the proposals will be available to the public upon written request.

Summary information on bids submitted will be posted on the School System website at <http://www.sumnerschools.org> County Website www.sumnertn.org under the Bids" link.

xv. Assignment

Neither the vendor nor School System may assign this agreement without prior written consent of the other party.

xvi. Liabilities

The vendor shall indemnify Sumner County against liability for any suits, actions, or claims of any character arising from or relating to the performance under this contract by the vendor or its subcontractors.

Sumner County has no obligation for the payment of any judgment or the settlement of any claim made against the vendor or its subcontractors as a result of obligations under this contract.

xvii. Tax Status

Sumner County Government is tax exempt.

xviii. Invoicing

Invoices are to be submitted to:

Sumner County Sheriff's Office
117 West Smith Street
Gallatin TN 37066

The vendor must provide an invoice(s) detailing the terms and amounts due and the dates due. All invoices shall indicate payment terms and any prepayment discounts.

xix. Contract Nullification

Sumner County may, at any time, nullify the agreement if, in the judgment of Sumner County, the contractor(s) has failed to comply with the terms of the agreement. In the event of nullification, any payment due in arrears will be made to the contractor(s), but no further sums shall be owed to the contractor(s). The agreement between Sumner County and the contractor(s) is contingent upon an approved annual budget allotment, and is subject, with thirty (30) days notification, to restrictions or cancellation if budget adjustments are deemed necessary by Sumner County.

xx. Applicable Law

Sumner County, Tennessee is an equal opportunity employer. Sumner County does not discriminate towards any individual or business on the basis of race, sex, color, age, religion, national origin, disability or veteran status.

The successful contractor(s) agrees that they shall comply with all local, state, and federal law statutes, rules, and regulations including, but not limited to, the Rehabilitation Act of 1973 and the Americans with Disabilities Act.

In the event that any claims should arise with regards to this contract for a violation of any such local, state, or federal law, statutes, rules, or regulations, the provider will indemnify and hold Sumner County harmless for any damages, including court costs or attorney fees, which might be incurred.

Any contract will be interpreted under the laws and statutes of the state of Tennessee.

Sumner County does not enter into contracts which provide for mediation or arbitration.

Any action arising from any contract made from these specifications shall be brought in the state courts in Sumner County, Tennessee or in the United States Federal District Court for the Middle District of Tennessee.

Additionally, it is a violation of state statutes to purchase materials, supplies, services, or any other item from a vendor that is a commissioner, official, employee, or board member that has any financial or beneficial interest in such transaction.

Purchasing Office
1500 Airport Road
Gallatin, TN 37066

COMPANY NAME _____

ADDRESS _____

TELEPHONE _____

EMAIL _____

AUTHORIZED COMPANY REPRESENTATIVE _____ *SIGNATURE*

AUTHORIZED COMPANY REPRESENTATIVE _____ *PRINTED*

DATE _____

BID TITLE 20180403-CO
DEADLINE April 3, @ 10:00 a.m.

BID AMOUNT: \$ _____

BID VALID THRU _____

July 1, 2018 - June 30, 2019
Sumner County Sheriff's Office Bid Specifications



Vendor/Company _____

Authorized Agent _____

Bid Price	Item	Make	Model	Color	Description
_____	Paper				8 1/2 x11 case of 5,000 sheets
_____	Paper				8 1/2 x14 case of 5,000 sheets
_____	Folders	Smead pocket folders			letter size 5 1/4 expansion, legal size 3 1/2 expansion
_____	Folders				Manila letter and legal size folders 100 per box
_____	Folders				Hanging file folders letter and legal size
_____	Legal Pads			Canary	5 x8 ruled pads, 8 1/2 x11 3/4, 8 1/2 x14
_____	Notebooks			white	3" x 5" 75 sheets/pad 5-pads/pk wirebound spiral memo books college-ruled
_____	planner	AT A GLANCE	YEARLY		monthly planner 70-260
_____	Pens	Papermate		black & red	ballpoint pens 12 in box
_____	Pens	G2 Pilot		black,red, blue	Gel rolling ball retractable 12 per box
_____	Pens	Papermate ink joy 7		black	Papermate i Ink joy 700Rt 1mm dozen per box
_____	Pen refills	G2 Pilot		black, red, blue	pen refills for G2 Pilot
_____	Pens	Papermate	fiber tip	red	pens
_____	Pens	Pentel Energel		black	retractable gel-ink medium point pens 3pk
_____	Pens	Pentel Energel		blue	retractable gel-ink medium point pens 3pk
_____	Pen refills	Pentel Energel		black	pen refills for Pentel Energel-0.7 mm medium liquid gel
_____	Pen refills	Pentel Energel		blue	pen refills for Pentel Energel-0.7 mm medium liquid gel
_____	Markers	Sharpie		black	12 per box fine point sharpie
_____	Markers	Sharpie		black	King Size permanent marker chisel tip
_____	Markers			black	Permanet markers
_____	DESK CALENDARS				AT-A-GLANCE SMALL AND LARGE SIZES
_____	Highlighters			yellow	Yellow highlighters 12 per box
_____	Highlighters			assorted	Assorted highlighters 12 per box
_____	Tape	Scotch Magic			12 rolls magic scotch tape
_____	Tape	Duck		clear	HP260 High-Performance Packaging Tape 8/Pack
_____	liquid paper			white	liquid paper 12 per box
_____	correction tape			white	correction tape
_____	pens	uni-ball vision elite		red,blue	uniball vision elite bold
_____	stapler			black	desk stapler
_____	Tape dispenser			black	tape dispenser

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_____ Staples			20 boxes of 5000 each standard staples
_____ copy stamp	blue		blue copy stamp pre-inked message
_____ Received stamp	red		red copy stamp pre-inked message
_____ stamp			Two-Color Pre-inked Shutter Message Stamp, SCANNED, 1/2" X 1-5/8" impression red/blue ink
_____ Storage bags Ziploc			1 Quart Double-Zipper Storage Bags Commercial 500 CT
_____ Rubberbands			assorted size rubberbands
_____ Hole Punch	black		2 hole, 3 hole standard punch
_____ Prong Fastener Bases			2 3/4" center-to-center, 2" capacity 100 per box
_____ Sticky Notes	yellow		3x3 self stick pads, 2x3, 1 1/2 x2 size
_____ Sheet Protectors	clear		Heavy weight presentation sheet protectors, 100 pack
_____ Labels Avery	white		Ink-jet shipping labels with True Block, 2" x 4" 250/pk
_____ Labels Avery	white		Extra-Large White Permanent File Folder Labels with True Block
_____ Ring Binder indexes	yellow		3 hole punched, big tab insertable storage dividers, clear tabs on side
_____ Ring Binder indexes	yellow		3 hole punched, insertable tab dividers, 8 multi-color tabs on side
_____ CD/DVD SLEEVES	white		100 PER BOX
_____ CD Pages Avery R			Acid-Free pack of 5
_____ dry erase markers	expo		4-6 per box
_____ Paper Fastner Bases	Silver		2 inch bases
_____ Adding Machine Tape	white		
_____ Adding Machine Tape	white		
_____ Receipt Books Tops			Thermal Paper Rolls 2 1/4" x 80' , 10 rolls
_____ Binder clips			Carbonless, duplicate numbered #46816
_____ Log Book BOORUM & PEASE			small 3/4, medium 1 1/4, large 2"
_____ Keyboard Cleaner			ruled 66-150R 66-300R
_____ Scissors			Electronics Duster 7 oz. Spray Can 6/Pack
_____ Printer Cartridg CANNON			standard desk scissors
_____ Printer Cartridg CANNON			IR-ADV4025
_____ HP			PRO 1 KIT
_____ HP			CC532A CC531A CC533A CC530A
_____ HP			305A
_____ HP			CE411A CE412A CE413A CE410A
_____ HP			HP 78A
_____ HP			HP 932 HP 933
_____ HP HPM4555			CE90X
_____ HP			HP Q6472A
_____ HP			HP Q6471A

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_____	HP		HP Q6473A				
_____	HP		HP Q6470A				
_____	HP		HP 61	HP 61 COLOR			
_____	HP		HP 63	HP 63 COLOR			
_____	HP		HP 63XL				
_____	HP		HP 131A				
_____	HP		CF210A	CF211A	CF212A	CF213A	
_____	HP		HP 940				
_____	HP		HP 88				
_____	HP		HP 90X				
_____	HP		HP 902XL	HP 902 COLOR			
_____	HP		HP Q5942A				
_____	HP		Q4770A	Q472A	Q471A	Q473A	
_____	HP		HP 901XL	HP 901	TRI COLOR		
_____	HP		HP CP205	CC530A	CC531A	CC533A	CC532
_____	LEXMARK		X658	X656DE	X656		
_____	LEXMARK		T650H11A	X644X11A			
_____	LEXMARK		E360H21A	X654Z11A			
_____	LEXMARK		521H				
_____	DELL		2335DN	NX994			
_____	Binders	black	3 "	with clear cover for labeling, 12 in case			
_____	Binders	black	2 "	with clear cover for labeling, 12 in case			
_____	Binders	black	1 1/2 "	with clear cover for labeling, 12 in case			
_____	Binders	white	3"	with clear cover for labeling, 12 in case			
_____	Envelopes	white	white window envelopes				
_____	Envelopes	white	business plain envelopes #10				
_____	Clasp Envelopes		9x12 clasp envelopes				
_____	Clasp Envelopes		6x9 clasp envelopes				
_____	Clasp Envelopes		10 x13 clasp envelopes				
_____	Clasp Envelopes		10 x18 clasp envelopes				
_____	CDR	MEMOREX	50 PACK				
_____		SONY	50 PACK				
_____		MAXELL	50 PACK				
_____	CDRW	MEMOREX	50 PACK				
_____		SONY	50 PACK				

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_____	MAXELL	50 PACK
_____ DVDR	MEMOREX	16X 4.7GB 120 MIN. 50 PACK
_____	SONY	16X 4.7GB 120 MIN. 50 PACK
_____	MAXELL	16X 4.7GB 120 MIN. 50 PACK

MONTHLY DESK PAD CALENDAR BROWNLINE

22" X 17" _____

MONTHLY PLANNER AT A GLANCE

8 7/8 X11 _____

BANKERS BOX STOR/FILE MEDIUM DUTY-STORAGE

10"H X 12"W X 15" D 4/CT LETTER/LEGAL _____

STAMPER REPLACEMENT INK ROLLER

INK INK ROLLER

RED 2000 PLUS ES D11092 INK ROLLER _____

DESK CHAI REPLACEMENT

BLUE/RED T5440 DATER REPLACEMENT INK PAD 1 1/8 X2 _____

SHREDDER

POWERSHREAD 16 SHEET SHREDDER _____

ATTACHMENT 1

STATEMENT OF NON-COLLUSION

The undersigned affirms that they are dully authorized to execute this contract, that this company, corporation, firm, partnership or individual has not prepared this proposal in collusion with any other respondent, and that the contents of this proposal as to prices, terms or conditions of said proposal have not been communicated by the undersigned nor by any employee or agent to any other person engaged in this type of business prior to the official opening of this proposal.

Company _____

Address _____

Phone _____

Fax _____

Respondent (Signature) _____

Respondent (Print Name and Title) _____

Authorized Company Official (Print Name) _____

ATTACHMENT 2

DRUG-FREE WORKPLACE

The Sumner County Government is committed to maintaining a safe and productive work environment for its employees and to providing high quality service to its citizens. The goal of this policy is for Sumner County employees and contractors to remain, or become and remain, drug-free. Abuse and dependency on alcohol and/or drugs can seriously affect the health of employees, contractors and citizens, jeopardize personal safety, impact the safety of others and impair job performance.

Drug-Free Workplace Act of 1988 – Sumner County Government is governed by the Drug-Free Workplace Act of 1988 (Pub. L. 100-690, Title V, Subtitle D).

Omnibus Transportation Employee Testing Act of 1991 – Sumner County Government is governed by the Omnibus Transportation Employee Testing Act of 1991 (Pub. L. 102-143, Title V).

Right to an Alcohol and Drug-Free Workplace - Employees have the right to work in an alcohol and drug-free environment and to work with persons free from the effects of alcohol and/or drugs.

Required Alcohol and Drug Tests - Alcohol and drug testing for safety sensitive employees shall be in accordance with the provisions contained in the Sumner County Alcohol and Drug Policy adopted by departments which have safety sensitive positions.

Contracts – Any contractors providing goods or services to Sumner County Government must comply with all State and Federal drug free workplace laws, rules and regulations and so certify this compliance by completion of the DRUG-FREE WORKPLACE AFFIDAVIT (attached page 2).

DRUG-FREE WORKPLACE AFFIDAVIT (page 2)

STATE OF _____

COUNTY OF _____

The undersigned, principal officer of _____, an employer of five (5) or more employees contracting with Sumner County Government to provide goods or services, hereby states under oath as follows:

1. The undersigned is a principal officer of _____ (hereinafter referred to as the "Company") and is duly authorized to execute this Affidavit on behalf of the Company.
2. The Company submits this Affidavit because it shall be receiving pay pursuant to a contract with the state or any local government to provide goods or services.
3. The Company is in compliance with all State and Federal Laws, Rules and Regulations requiring a drug-free workplace program.

Further affiant saith not.

Principal Officer: _____

STATE OF _____

COUNTY OF _____

Before me personally appeared _____, with whom I am personally acquainted (or proved to me on the basis of satisfactory evidence) and who acknowledged that such person executed the foregoing affidavit for the purposes therein contained.

Witness my hand and seal at office this _____ day of _____, 20____.

Notary Public

My commission expires: _____

ATTACHMENT 3

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS

The prospective participant certifies, to the best of its knowledge and belief, that it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in transactions under federal non-procurement programs by any federal department or agency;
2. Have not, within the three year period preceding the proposal, had one or more public transactions (federal, state, or local) terminated for cause or default; and
3. Are not presently indicted or otherwise criminally or civilly charged by a government entity (federal, state, or local) and have not, within the three year period preceding the bid, been convicted or had a civil judgment rendered against it
 - A. For the commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public transaction (federal, state, or local) or a procurement contract under such a public transaction;
 - B. For the violation of federal or state antitrust statutes, including those proscribing price fixing between competitors, the allocation of customers between competitors, or bid rigging; or
 - C. For the commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property.

I understand that a false statement on this certification may be grounds for the rejection of this proposal or the termination of the award. In addition, under 18 U.S.C. § 1001, a false statement may result in a fine of up to \$10,000 or imprisonment for up to five years, or both.

Name of Participant Agency

Name and Title of Authorized Representative

Signature of Authorized Representative

Date

_____ I am unable to certify to the above statement. Attached is my explanation.

ATTACHMENT 4

CERTIFICATION BY CONTRACTOR

I, the undersigned, certify that on behalf of Contractor, I am authorized to attest and obligate the above certification and to legally bind Contractor to these terms, conditions and obligations.

_____ Title

_____ Name

_____ Date

_____ Witness

Request for Taxpayer Identification Number and Certification

Give Form to the
requester. Do not
send to the IRS.

Print or type
See Specific Instructions on page 2.

1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.

2 Business name/disregarded entity name, if different from above

3 Check appropriate box for federal tax classification; check only **one** of the following seven boxes:

- ☐ Individual/sole proprietor or single-member LLC
☐ Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ▶ _____
Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.
☐ Other (see instructions) ▶ _____
- ☐ C Corporation ☐ S Corporation ☐ Partnership ☐ Trust/estate

4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):

Exempt payee code (if any) _____
Exemption from FATCA reporting code (if any) _____
(Applies to accounts maintained outside the U.S.)

5 Address (number, street, and apt. or suite no.)

Requester's name and address (optional)

6 City, state, and ZIP code

7 List account number(s) here (optional)

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN* on page 3.

Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for guidelines on whose number to enter.

Social security number

				-			-				
--	--	--	--	---	--	--	---	--	--	--	--

or

Employer identification number

				-							
--	--	--	--	---	--	--	--	--	--	--	--

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign
Here

Signature of
U.S. person ▶

Date ▶

General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at www.irs.gov/fw9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See *What is backup withholding?* on page 2.

By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
2. Certify that you are not subject to backup withholding, or
3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.